



JPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: *Lees et al.*

Group No.: 3721

Serial No.: 10/787,032

Examiner: Not yet assigned

Filed: February 25, 2004

I hereby certify that this paper is being transmitted by First Class Mail to: United States Patent and Trademark Office, Alexandria, VA 22313-1450 on this date:

For: DIRECT INJECTION
MOLDED CLOSURE AND
METHOD THEREFOR

06/16/2004

Date

Signature

Attorney

Docket No: TRX-0628 CON01
(4346/91547)

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

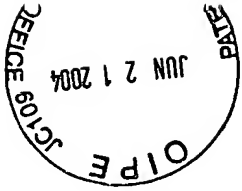
In reviewing the Filing Receipt for the above-identified patent application, we note that the filing date assigned by the Patent Office is not the date on which the application was filed.

Please change the filing date to:

February 25, 2004

Please find enclosed copies of the postcard, check, executed transmittals and certificate of express mail, all bearing the date requested above. Applicant believes the date on the Express Mail receipt was incorrect, and this was the date used by the Examiner to determine the date of filing.

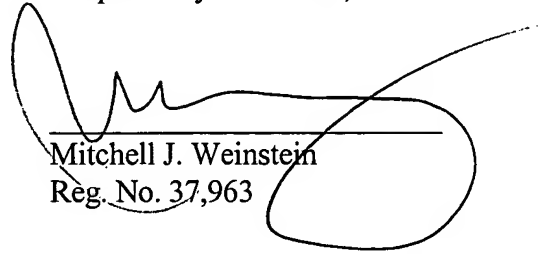
Applicant respectfully requests that the Patent Office issue a Corrected Filing Receipt indicating the above changes.



Serial No. 10/787,032
June 16, 2004

Applicant believes that there is no fee due in connection with the above-noted errors. However, if a fee is due in connection with same, the Commissioner is hereby authorized to charge any underpayment or credit any overpayment to Deposit Account No. 23-0920.

Respectfully submitted,



Mitchell J. Weinstein
Reg. No. 37,963

June 16, 2004
WELSH & KATZ, LTD.
120 South Riverside Plaza
22nd Floor
Chicago, Illinois 60606
(312) 655-1500

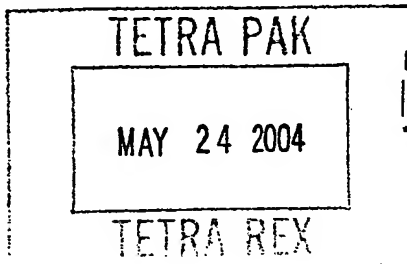


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/787,032	02/24/2004 25	3721	770	TRX-0628 CON-01 (4346/915)	9	9	2

US Intellectual Property Department
Tetra Park, Inc.
101 Corporate Woods Parkway
Vernon Hills, IL 60089



CONFIRMATION NO. 3902

FILING RECEIPT



PC000000012697943

Date Mailed: 05/19/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

John Lees, Minneapolis, MN;
Terry Erickson, St. Paul, MN;
Terry Berglin, Maple Grove, MN;
Mike Robertson, Roseville, MN;
Nicholas Cook, Evanston, IL;
Russell Stacy-Ryan, Chicago, IL;
Jens Mogard, AKarp, SWEDEN;

Assignment For Published Patent Application

Tetra Laval Holdings & Finance, S.A., Pully, SWITZERLAND;

Domestic Priority data as claimed by applicant

This application is a CON of 10/124,968 04/18/2002
which is a DIV of 09/594,247 06/15/2000 PAT 6,467,238

Foreign Applications

If Required, Foreign Filing License Granted: 05/17/2004

Projected Publication Date: 08/26/2004

Non-Publication Request: No

Early Publication Request: No

Title

Apparatus for direct injection molded closure

Preliminary Class

053

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PATENT & TRADEMARK OFFICE
JUN 21 2004
OIP

WELSH & KATZ, LTD.
111 RIVERSIDE PLAZA
CHICAGO, IL 60606
(312) 655-1500

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

WASHINGTON, DC 20031

1-800-4-A-FLYER

WELSH & KATZ, LTD.

CHECK DATE: 02-25-2004 CHECK NO. 85907

CHECK NO. 85907				
CHECK DATE: 02-25-2004				
DATE	INVOICE	VOUCHER	DESCRIPTION	NET
02-25-2004	022504-5	165366	434691547 - clif	770.00
TOTAL				\$770.00

VENDOR: COMMISSIONER OF PATENTS	REF #
---------------------------------	-------



BEST AVAILABLE COPY

Applicant(s) : Lees et al.
Title: DIRECT INJECTION MOLDED CLOSURE
AND METHOD THEREFOR
Continuation of U.S. Patent Application Serial No. 10/124,968;

MJW/clf
File No. TRX-0628 (4346/86935)
February 25, 2004

Enclosed: Transmittal Form (in duplicate); Fee Transmittal (in duplicate); Amendment Transmittal form (in duplicate); Preliminary Amendment (6 pgs); Declaration & Power of Attorney (4 pages); Application Data Sheet (8 pages); Drawing Change Request as Originally Filed (2 pages); 2 Corrected Sheets of Drawings as Originally Filed; 25-Page Specification as Originally Filed having 9 Claims (after amendment) and 1 page of Abstract; 9 Sheets of Informal Drawings; Check No. 85907 in the amount of \$770.00; Return Postcard; Certificate of Mailing No. EV 337104599 US dated February 25, 2004.

Mail Stop: Patent Application
Commissioner for Patents

Please acknowledge receipt of the above-identified documents by applying the Patent and Trademark Office receipt hereto and mailing this card.

22278 U.S. PTO
10/787032



022404

Respectfully,

Welsh & Katz, Ltd.

JUN 21 2004
PATENT
TRADEMARK

Atty. Docket No. 4346/91547 (TRX-0628 CON-01)

Certificate of Express Mailing

EV337104599US

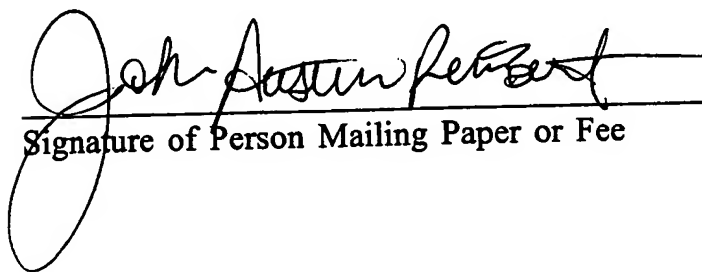
Express Mail mailing label number EV 337104599 US

Date of Deposit: February 25, 2004

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail" Post Office to Addressee. Service under 37 CFR 1.10 is on the date indicated above and addressed to: **BOX PATENT APPLICATION**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. The person mailing this paper is:

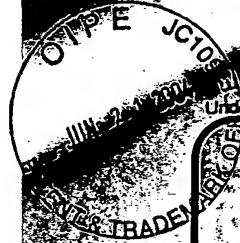
John Rembert

Typed or Printed Name of Person Mailing Paper of Fee



Signature of Person Mailing Paper or Fee

BEST AVAILABLE COPY



UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	TRX-0628 Con 01 (4346/91547)
First Inventor	Lees et al.
Title	Direct Injection Molded Closure and Method Therefor
Express Mail Label	EV 337104599 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P. O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **25**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **9**]
5. Oath or Declaration [Total Pages **1**]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **10/124,698**

Prior application information: Examiner **Timothy W. Heitbrink**

Group / Art Unit: **1722**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number: or ☒ Correspondence address below

Name	U.S. Intellectual Property Department				
	Tetra Pak, Inc.				
Address	101 Corporate Woods Parkway				
City	Vernon Hills	State	IL	Zip Code	60089
Country	US	Telephone	(312) 655-1500	Fax	(312) 655-1501

Name (Print/Type)	Mitchell J. Weinstein, Esq.	Registration No. (Attorney/Agent)	37,963	
Signature			Date	02/25/2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

BEST AVAILABLE COPY

OTPE JC109 30
JUN 21 2004

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0851-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$770.00

Complete if Known

Application Number	Not yet assigned
Filing Date	Herewith
First Named Inventor	Lees et al.
Examiner Name	Not yet assigned
Art Unit	Not yet assigned
Attorney Docket No.	TRX-0628 Con 01 (4346/91547)

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number

23-0920

Deposit Account Name

Welsh & Katz, Ltd.

The Director is authorized to:

(check all that apply)

☐ Charge fee(s) indicated below

☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	770.00
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND

Extra Claims		Fee from below	Fee Paid
Total Claims			
9	-20** = 0	X 18.00 =	0.00
Independent Claims	2	-3** = 0	X = 0.00
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) \$0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non - English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR § 1.17(q)	
1808	180	1808	180	Submission of Information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) _____

SUBMITTED BY

Name (Print/Type)	Mitchell J. Weinstein, Esq.	Registration No. (Attorney/Agent)	37,963	Telephone	(312) 655-1500
Signature		Date		02/25/2004	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

BEST AVAILABLE COPY

JUN 21 2004

AMENDMENT TRANSMITTAL LETTER (Large Entity)

Docket No.
TRX-0628 Con 01 (4346/91547)

Serial No.
Not yet assigned

Filing Date
Herewith

Examiner
Not yet assigned

Group Art Unit
Not yet assigned

Invention: DIRECT INJECTION MOLDED CLOSURE AND METHOD THEREFOR

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.
The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	9 -	20 =	0 x	\$18.00	\$0.00
INDEP. CLAIMS	2 -	3 =	0 x	\$86.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of _____
- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-0920
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Signature

Dated: 02/25/2004

Mitchell J. Weinstein, Esq.
Reg. No. 37,963

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37C.F.R. 1.8 and is addressed to the _____ for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

cc: